





STEP-BY-STEP DESCRIPTION OF HEALTH IN EA FRAMEWORK IN A NUTSHELL

The table below (Table 2) summarises the 5 main steps (main tasks and roles of the various players) and the 3 cross-cutting issues. They are presented in detail in Part II of this document.


Table 2: Step-by-step description of Health in EA Framework in a nutshell.


EA step	Main objectives to ensure the integration of Health in EA report	Input (in line with the resolution 2019)	Stakeholders main tasks (E-Auth, H-Auth, PA, Cons)	Recommended tools (details in part II)	Output
E1-Screening EA optional (annexe II of EAC) or mandatory (annexe I of EAC SEA (chapter III of EAC, Art. 18-29)) 	Determine in broad terms whether the project/strategic document is likely to have an impact on the health of current and future populations, by looking at the potential effects of the project/strategic document on different determinants of health. The population analysis should include vulnerable groups and those most likely to be affected by the project/strategic document.	Screening application + Draft project/Strategic document	- PA or Cons prepare a screening application in which health elements are clearly highlighted with the support of 4 tools recommended by the H-Auth and send it to the H-Auth & E-Auth	- Screening application form (E1AT1) - Identification of the main health domains of expertise (E1HI_DE1) - Health & Environment Data for first Baseline (E1-2HI_DE2) - Screening and Scoping health inequalities assessment grid (E1-2AT2)	Screening Decision to Go or not into SEA (including HIA), taken by E-Auth and H-Auth
			- H-Auth assesses the screening application using the dedicated core tool 1 and decide to go or not in HIA according to the legislation and their own judgement regarding health expertise if yes: (1) H-Auth informs PA & Cons about the next steps and reminds them of the tools available to integrate the health issue; and (2) Launch of the HIA-Governance body If no: H-Auth reminds PA & Cons the importance to integrate health issues in the project/strategic document	- Analysis of Screening and SEA Scoping Application (E1-2CT1) Core tool 1 - Step-by-step Governance (E1-5HI_G)	

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<p>E2-Scoping</p> <p>EA mandatory (annexe I of EAC)</p> 	<p>Identify:</p> <ul style="list-style-type: none"> - the determinants of health that could be most affected, positively or negatively, by the project or strategic document - the possible methods for impact appraisal - the expertise required. <p>Bring stakeholders together in a steering group (HIA governance body). The scoping step is critical as it sets the scene for the following steps.</p>	<p>Scoping application + Working version of Projects/ Strategic document = scoping report</p>	<ul style="list-style-type: none"> - PA / E-Auth / H-Auth organise a prior scoping meeting with the help of the tools in order to: <ul style="list-style-type: none"> o Identify the main components of the project/strategic document and how they are linked to health determinants o Set the main health and environmental priorities - H-Auth organises the activity of the HIA-Governance body <ul style="list-style-type: none"> - PA and Cons prepare a scoping application that clearly highlights the components of the project/ strategic document, the health determinants that could be most affected, positively or negatively, by the project/strategic document, and the methods envisaged for impact appraisal. . PA and Cons can use the different tools recommended by the H-Auth to produce the scoping application, particularly the Causal Model (Core tool 2) and the Health Determinant Sorting grid (Core tool 3). 	<ul style="list-style-type: none"> - Step-by-step Governance (E1-5HI_G) <ul style="list-style-type: none"> - Template for health in Scoping Report (E2CT2) Core Tool 2 - Causal Model (E2CT3) Core tool 3 - Health Determinant Sorting grid (E2CT4) Core tool 4 - Screening and Scoping health inequalities assessment grid (E1-2AT2) - Health & Environment Data for first Baseline (E1-2HI_DE2) - Basic requirements to mobilise expertise (E2-3HI_DE3) - Methodologies for appraisal (E2AT3) 	<p>Scoping opinion by E-Auth and H-Auth</p> <p>PA produces ToRs for the Consultants/ experts in charge of the SEA report, including health concerns and expertise required</p>

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			<ul style="list-style-type: none"> - H-Auth assesses the scoping application using the dedicated core tool 1; if necessary, it requires additional demands to complete the submission. Then, it provides the scoping opinion. 	<ul style="list-style-type: none"> - Analysis of Screening and SEA Scoping Application (E1-2CT1) Core tool 1 	
<p>E3-Preparing EA report</p> 	<p>Produce an EA report that considers health and presents the results of the health impact assessment..</p>	<p>Scoping opinion + ToRs for SEA report including health concerns and required expertise</p>	<ul style="list-style-type: none"> - In line with the scoping opinion and the ToRs, PA and Cons prepare an EA report highlighting the findings of the assessment, using the Impact assessment matrix (Core tool 4) and the Health in EA report template (Core tool 5). . - If needed, expertise is mobilised using the tool Basic requirements to mobilise expertise 	<ul style="list-style-type: none"> - Impact assessment matrix (E3CT5) Core tool 5 - Health in EA report template (E3CT6) Code tool 6 - Basic requirements to mobilise expertise (E2-3HI DE3) 	<p>Draft EA report compliant with EAC</p>
<p>E3-Public hearing</p> 	<p>Inform the community and gather their concerns, including health concerns,</p>	<p>Draft project and strategic document +</p>	<ul style="list-style-type: none"> - PA, E-Auth and/or H-Auth publishes the draft EA report - The PA/Cons is responsible for organising the public hearing and coordinating the consultation and facilitates the public hearing 	<ul style="list-style-type: none"> - Step-by-step Public Participation and active communication (E1-5HI_PP) - Step-by-step Governance (E1-5HI_G) 	

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	<p>in order, for the PA/Cons, to modify the project/ strategic document if necessary.</p>	<p>Draft EA report compliant with EAC (Annex III Eap report)</p>	<p>The H-Auth ensures that health is included in the presentation during the public hearing and how health is considered in the PA presentation of the project/strategic document and its EA, addressing the following questions:</p> <ul style="list-style-type: none"> - Presentation of baseline health data - Highlighting the key health determinants affected - Presentation of the final outcome of the causal model - Presentation of potential health impacts (e.g. results of impact modelling) - Presentation of scenarios and proposals for avoiding, reducing and compensating for health impacts with alternatives and monitoring plan <p>The H-Auth participates in the public hearing, bringing in internal expertise according to the main health issues.</p>		<p>Minutes of the public hearing prepared by the PA Draft project/ strategic document Final EA report</p>

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			<ul style="list-style-type: none"> - PA updates the project/strategic document with the results of the public hearing 	No tool	
<p><u>E4-Assessment of the report & Issuing recommendations</u></p> 	<p>Provide a quality control of the final EA Report and project/strategic document. H-Auth focuses on the integration of health concerns in the final EA report and provides a health statement with recommendations on the draft project/strategic document.</p>	<p>EA report compliant with EAC (Annex III Eap report)</p>	<ul style="list-style-type: none"> - PA proposes a final project/strategic document - E-Auth and/or H-Auth appoints the expert commission prepared in the previous step - H-Auth assesses the health part of the EA report with the core tool 6 - H-Auth takes into account the minutes of the public hearing and their experience of the public hearing to produce their health statement using the core tool 7 - E-Auth and H-Auth collaborate or exchange on the recommendations and send final health statement - E-Auth and H-Auth will publish the statement on the website 	<ul style="list-style-type: none"> - No tool - Step-by-step Public Participation and active communication (E1-5HI PP) - Step-by-step Governance (E1-5HI G) - EA Report assessment grid (E4CT7) Core tool 7 - Template for NCD Health Statement (E4CT8) Core tool 8 	<p>Health statement (quality control and issuing of recommendations)</p>

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<p>E5- Monitoring/ follow up of the implementation of the project/ strategic document</p> 	<p>E-Auth and H-Auth is part of the governance of the project/ strategic document implementation, managed by the PA</p>	<p>Approved project/ strategic document with a Monitoring plan</p>	<ul style="list-style-type: none"> - PA launches the implementation of the project/strategic document - PA, E-Auth and H-Auth launch the Regular steering committee meetings to follow-up and monitor the implementation of the project/strategic document <hr/> <ul style="list-style-type: none"> - E-Auth and H-Auth collaborate to follow-up and monitor the implementation of the project/ strategic document using the core tool 9 	<ul style="list-style-type: none"> - Step-by-step Public Participation and active communication (E1-5HI_PP) - Step-by-step Governance (E1-5HI_G) <hr/> <ul style="list-style-type: none"> - Monitoring & follow up of implementation (E5CT9) Core Tool 9 	<p>Regular steering committee meetings to follow-up and monitor</p>